

Attachment 3. Annual Performance Indicators

DOE/NNSA LDRD Roles and Responsibilities Guidance

All indicators are to be reported on a fiscal year basis. For indicators 4-7, it is expected that the laboratories will collect this information for LDRD projects going back at least 3 years (i.e., the fiscal year being reported and the two preceding years).

1. Number of postdoctoral researchers supported in full or in part by LDRD during the fiscal year.
2. Number of students supported in full or in part by LDRD during the fiscal year.
3. Number of full-time scientific and technical research staff hired as a result of full or partial LDRD support during the fiscal year.
4. Number of LDRD-derived refereed publications (e.g., journal articles, conference papers, book chapters, or other reports) published during the fiscal year. This indicator includes all publications derived in whole or in part from LDRD projects funded in any year.
5. Number of LDRD-derived invention disclosures filed during the fiscal year (disclosures are internal laboratory intellectual property documents). This indicator includes all disclosures derived in whole or part from LDRD projects funded in any year and all subsequent LDRD follow-on activities.
6. Number of LDRD-derived patents issued/granted during the fiscal year. This indicator includes all patents derived in whole or part from LDRD projects funded in any year and all subsequent LDRD follow-on activities.
7. Number of LDRD-derived copyrights (other than publications) issued/granted during the fiscal year. This indicator includes all copyrights derived in whole or part from LDRD projects funded in any year and all subsequent LDRD follow-on activities.

In addition to the numerical data requested above, please provide information on any national awards or recognition received during the fiscal year that are attributable in whole or in part to LDRD projects funded in any year. For each award, describe (in 150 words or less) its significance and the role that LDRD played in achieving it.

6/13/06